

Post-Deal Sanction Check

Our services for post-deal integrations ensuring efficient risk coverage

▶ Post-deal challenges may arise from sanction risks in the acquired target company

During the past years, there has been a significant increase in politically motivated sanctions issued by international authorities (e.g., EU, UN, and US) to enforce political goals. Companies subject to these regimes are responsible for complying with these regulations.

After the acquisition of a company, the responsibility to meet sanction regulations lies with the new owner. As the number of sanction regulations increases steadily, it is hard to keep track of all changes and ensure that a company's sanction framework meets all requirements.

To encourage new owners to proactively address misconduct and strengthen compliance programs, the US Department of Justice (DOJ) issued a "Safe Harbor Policy" in 2023. Thus, greater protection from DOJ enforcements are afforded for those who proactively identify and disclose potential wrongdoings.

A professional sanction check after a transaction mitigates inherent sanction risks.

How does PwC help?

▶ We offer diverse services to ensure that you are aware of the sanction risks

1

Initial Post-Deal Sanction Screening - Analysis of Actual Risks

As part of various special investigations (e.g. by US supervisory authorities) we have developed a sanction screening tool that provides transparency regarding sanctions risks of the underlying partner and transaction data. We check all relevant data such as customers, suppliers, employees and transactions against applicable sanction programs and provide transparency regarding your risk landscape.

2

Technical Testing of Your Sanction Screening IT Tool - Analysis of Target Process

To evaluate the effectiveness of a sanction screening tool, we have developed a standardized approach containing years of experience as well as our best practices. A sophisticated test will quickly evaluate your screening tool: pointing out potential weaknesses respectively provided a high level of assurance. This service is beneficial especially for post-deal integrations of sanction screening software.

3

Review of Sanction Compliance Program - Maturity Assessment for Sanction Compliance Program

An effective sanction compliance program addresses sanction risks along multiple elements: e.g. tone from the top, governance, sanction policies etc.. PwC knows the key components to address sanction risks effectively. A maturity assessment reveals possible risks and is the basis to mitigate any risks factors based on a companies risk appetite.

Our Experts



Arndt Engelmann

Partner
Mobile: +49 151 1480 6264
arndt.engelmann@pwc.com



Lothar Müller

Director
Mobile: +49 160 5364479
lothar.mueller@pwc.com



Christian Werres

Senior Manager
Mobile: +49 170 8521551
christian.werres@pwc.com



Philip Minkenberg

Manager
Mobile: +49 1516 1114360
philip.minkenberg@pwc.com